FP TRANSITIONS®

LISTING 22-388

LOCATION	REVENUE	ASKING
Southern California	$1,370,848	$4,200,000

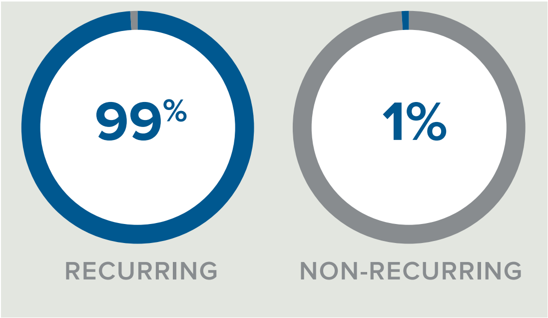
This fee-only RIA practice located in San Diego County is focused on providing its 257 household clients with personalized financial planning and investment management services. This practice deploys a globally diversified investment approach in building portfolios for its clients using DFA equity mutual funds, actively managed fixed-income funds, real estate, and alternative strategies. 2021 revenue for this practice was $1,370,848, 99% from managing roughly $185,000,000 in assets with the remaining from financial planning fees.

This is a Sell and Stay® opportunity as one of the practice's principals and 1 part-time and 2 full-time employees seek to remain with the acquiring firm post-sale. The seller is looking for a partner firm that uses Fidelity as their custodian and Orion as their portfolio management software.

PRACTICE INFORMATION

Assets Under Management	$185,000,000
Households	257
Form of Ownership	S Corp.
Owners	2
Full Time Employees	2

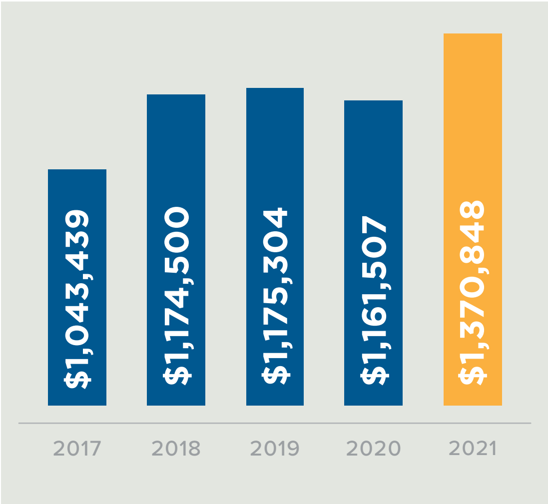
REVENUE



TECH STACK

Portfolio Management Software	Orion
Financial Planning Software	Orion
CRM	Redtail

HISTORICAL REVENUE



INQUIRE NOW

Visit **fptransitions.com/22-388-inquire** or log in to your member dashboard to inquire.

Not a member? Create your free membership at **fptransitions.com/membership**.

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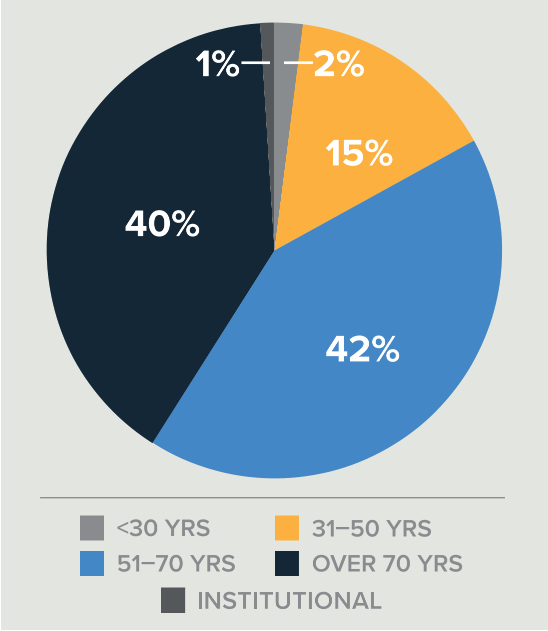
SELLER INFORMATION

Designations	CFP
Education	MBA
Years in Business	28
Years in Industry	32

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$1,308,382	
401K Plans	$52,266	
HOURLY-BASED		
Financial Planning		$10,200
TOTALS		
	$1,360,648	**$10,200**

CLIENT DEMOGRAPHICS



REVENUE SOURCES